

# The Secrecy Capacity of The Gaussian Wiretap Channel with Rate-Limited Help at the Encoder

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# Introduction

- Physical layer security<sup>1</sup>
- Wiretap channels<sup>2</sup> (WTC)
  - keep Ev ignorant of Tx message
  - widely-used model
  - key metric: secrecy capacity
  - well-known in many cases
  - including feedback, jamming

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<sup>1</sup>C. E. Shannon, Communication Theory of Secrecy Systems, Bell Syst. Tech. J., Oct. 1949.

<sup>2</sup>A.D. Wyner, The Wire-Tap Channel, Bell Syst. Tech. J., Oct. 1975.

# Introduction: Is Feedback Useful?

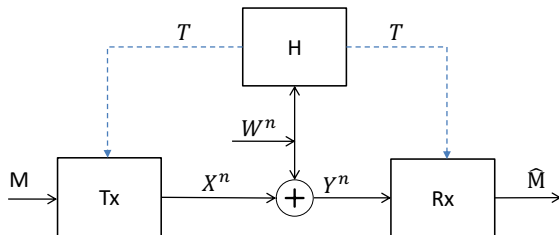
- No boost for regular (no secrecy) capacity of memoryless channels
- **But...** boosts secrecy capacity, even if memoryless!
- **However...**
- Unrealistic assumptions
  - noiseless FB link (infinite capacity)
    - arbitrarily-low noise destroys achievability
  - perfect secrecy of FB link

# This Paper

- More realistic assumptions
- Rate-limited help at the Tx
  - Secure and non-secure
  - Causal and non-causal
- Boosts secrecy capacity
- **...even if not secure...**
- "Free-lunch" theorem

# New twist: help instead of feedback (no Ev yet)

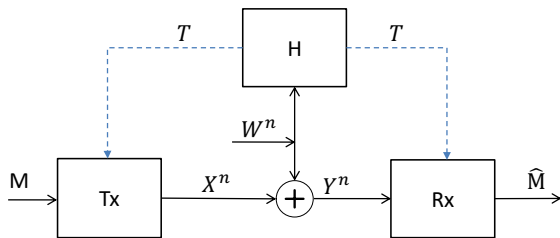
- additive-noise channels
- helper: observes noise sequence
- rate-limited (!) help<sup>34</sup> instead of noiseless (infinite-rate) feedback



<sup>3</sup>S. I. Bross, A. Lapidoth, G. Marti, Decoder-assisted communications over additive noise channels, IEEE Trans. Commun., Jul. 2020.

<sup>4</sup>A. Lapidoth, G. Marti, Encoder-Assisted Communications Over Additive Noise Channels, IEEE Trans. Info. Theory, Nov. 2020.

# New twist: help instead of feedback (no Ev yet)



**the capacity:**  $+R_h$  rate boost

$$C = C_0 + R_h \quad (1)$$

**optimal signaling:** 2-phase flash signaling

# New twist: help instead of feedback (no Ev yet)

- key advantage: no infinite-capacity (noiseless) feedback links
- applications
  - cloud radio access
  - cellular
  - WiFi

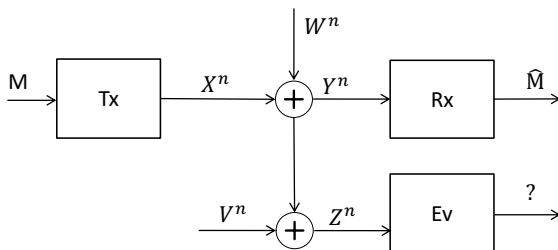
# This work: WTC + Help

- additive-noise WTC + Tx/Rx help (no jamming)
  - degraded
  - reversely-degraded
  - non-degraded
- help: rate-limited, secure or not, causal or not
- $+R_h$  boost in secrecy rates, even if
  - help is not secure
  - channel is reversely degraded
  - help is causal
- "free lunch" theorem



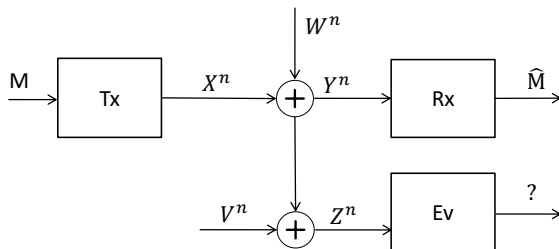
# Degraded Wiretap Channel (no help yet)

- $M \rightarrow X^n \rightarrow Y^n \rightarrow Z^n$
- additive noise:  $Y_i = X_i + W_i$ ,  $Z_i = Y_i + V_i$



- weak secrecy ( $E_v$ ):  $R_l = n^{-1} I(M; Z^n) \leq \epsilon$
- reliability ( $R_x$ ):  $\Pr\{M \neq \hat{M}\} \leq \epsilon$
- power ( $T_x$ ):  $\frac{1}{n} \sum_{i=1}^n \mathbb{E}|X_i|^2 \leq P$

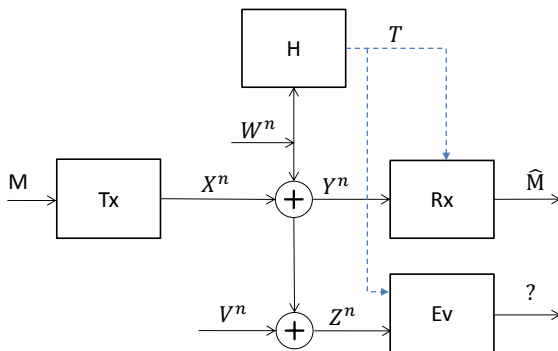
# Degraded Wiretap AWGN Channel



- secrecy capacity:  $C_{s0} = C_1 - C_2 = \log \frac{1+\gamma_1}{1+\gamma_2}$
- $C_1, C_2$ : Tx-Rx and Tx-Ev capacities

# Degraded Wiretap Channel with Rx Help<sup>5</sup>

- ... and **rate-limited** Rx help:  $n^{-1}H(T) \leq R_h$
- Rx decoding: based on  $Y^n$  and  $T$
- Ev: arbitrary-low leakage rate  $R_l = n^{-1}I(M; Z^n T) \leq \epsilon$



<sup>5</sup>S. Loyka, N. Merhav, The Secrecy Capacity of Gaussian Wiretap Channels with Rate-Limited Help at the Decoder, ISIT 2022.

## Degraded Wiretap Channel with Rx Help<sup>7</sup>

- secrecy capacity:

$$C_s = C_{s0} + R_h \quad (2)$$

- i.e.  $+R_h$  boost due to help
- the same as in the no-secrecy case<sup>6</sup>
- i.e. the boost comes with secrecy **for free**
- extends to reversely-degraded case, where  $C_{s0} = 0$  (no help), even if help is not secure:

$$C_s = R_h \quad (3)$$

- no wiretap coding is needed to achieve it

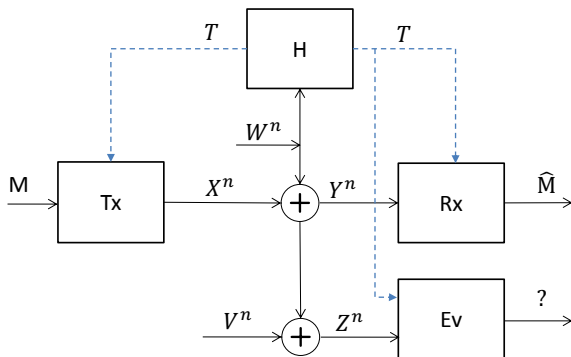
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# This Paper: Tx Help

- in addition to or instead of Rx help
- secure or not
- causal or not



# This Paper: Tx Help

- degraded Gaussian WTC
- Tx or/and Rx help of rate  $R_h$
- causal or not
- secure or not

## Theorem

*Lower bound on secrecy capacity  $C_s$ :*

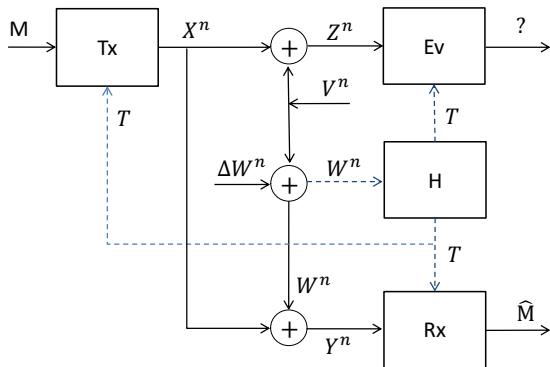
$$C_s \geq C_{s0} + R_h \quad (4)$$

*Holds with equality if help is not secure.*

- same Rx help, in addition to Tx help: no extra boost in  $C_s$
- non-causal Tx help: no extra boost over the causal one

# Reversely-Degraded Wiretap Channel

- $M \rightarrow X^n \rightarrow Z^n \rightarrow Y^n$



- No help:  $C_{s0} = 0$  (no secrecy)

# Reversely-Degraded Gaussian WTC

- no-help secrecy capacity  $C_{s0} = 0$
- ...but not so with help

## Theorem

*Lower bound on secrecy capacity  $C_s$ :*

$$C_s \geq R_h \quad (5)$$

*Holds with equality if help is not secure.*

- positive secrecy rates, ... even if help is not secure



## Theorem

Lower bound on secrecy capacity  $C_s$ :

$$C_s \geq R_h \quad (6)$$

*Holds with equality if help is not secure.*

- extra noise at Rx  $\rightarrow$  higher sec. capacity !
- $C_s > 0$  is possible, even if help is not secure!
- **but why?**
  - Rx is degraded w.r.t. Ev
  - help is not secure
  - if Rx recovers secret message  $\rightarrow$  so does Ev ?!

## but why?

- slight difference in noise can be exploited
- even if Rx noise  $>$  Ev noise
- even if help is not secure
  - $T = Q(W^n)$  = public key
  - only Rx has the exact lock ( $Z^n \neq W^n$ )

# Reversely Degraded Wiretap Channel + Tx Help

Achievability:

- burst signaling, phase 2 only (no phase 1)
- no wiretap coding at all !
- burst transmission → secrecy
- well-known in the spying world<sup>8</sup> :)

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<sup>8</sup>E. Shannon, Death of The Perfect Spy, Time, June 24, 2001.

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# Conclusion

- Wiretap channel + Tx help of rate  $R_h$
- $+R_h$  boost in secrecy rates, even if help is not secure
  - degraded
  - reversely-degraded ( $C_s > 0$ )
  - non-degraded
- **open problem:** secure Tx help, non-degraded WTC

"free lunch" theorem:

- Rx/Tx help:  $+R_h$  boost comes with secrecy for free

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"free lunch" theorem:

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# Role of Feedback (FB)

- Memoryless channels (e.g. AWGN): no impact on capacity  $C_0$
- WTC: FB boosts secrecy capacity  $C_s$  in many cases
- AWGN WTC, noiseless FB to Tx but noisy to Ev<sup>9</sup>

$$C_s = C_0 > C_{s0} \quad (7)$$

i.e. secrecy comes for free!

- Extended to colored (ARMA) noise<sup>10</sup>
- **But...**
  - noisy FB to Ev, i.e. (partially) secret FB
  - noiseless FB to Tx: rate-unlimited (impossible in practice)

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# Role of Feedback (FB)

- *Rate-limited* secure FB<sup>11</sup>

$$C_{sf} = \min\{C_0, C_{s0} + R_f\} \quad (8)$$

- **But...**

- secure FB to Tx
- does not work if non-secure FB

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<sup>11</sup>E. Ardestanizadeh et al, Wiretap Channel With Secure Rate-Limited Feedback, IEEE Trans. Info. Theory, Dec. 2009.